

Submitter for FORM 1449A/PTO PTO/SB/08A (10-01) U.S. PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Attorney Docket Number: 3053-041	Application Number : 09/921,420
	First Named Inventor: Lewis S. Ostrover	Filing Date: 08/02/01
	Examiner Name: Not Yet Assigned	Art Unit: TBA

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
RC	US- 6,351,596	02.26.02	Ostrover	
RC	US- 5,986,692	11.16.99	Logan et al.	
RC	US- 5,892,536	04.06.99	Logan et al.	
RC	US- 4,368,961	01.18.83	Mattes	
RC	US- 4,774,600	09.27.88	Baumeister	
RC	US- 5,661,844	08.26.97	Park	
RC	US- 5,589,945	12.31.96	Abecassls	
RC	US- 5,172,111	12.15.92	Ollvo et al.	
	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials	FOREIGN PATENT DOCUMENT	PUBLICATION DATE	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
RC	WO 00/ 04726	01.27.2000	Lewis et al.	

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

(including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Robert Chevalier/	DATE CONSIDERED 09/15/2006
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Substitute for form 1448/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	09/921,420
Filing Date	August 2, 2001
First Named Inventor	Lewis S. Ostrover et al.
Art Unit	NYA
Examiner Name	NYA
Attorney Docket Number	3053-041

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
RC		JP 11-238071	08.31.1999	TOSHIBA CORP.		
RC		JP 2001-197431	07.19.2001	SONY CORP.		
RC		JP 08-018923	01.19.1996	SONY CORP.		

**Examiner
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/Robert Chevalier/

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	First Named Inventor: Lewis S. Ostrover	Filing Date: 08/02/01
	Examiner Name: Not Yet Assigned	Art Unit: TBA

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(including Author, Title, Date, Pertinent Pages, Etc.)

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/921.420	
			Filing Date	August 2, 2001	
			First Named Inventor	OSTROVER, Lewis S	
			Group Art Unit	2615	
			Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	3053-041

U.S. PATENT DOCUMENTS					
Examiner Initials ⁵	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
RC	AA	US- 5.172.111	12.15.1992	Olivo Jr	See ISR PCT/US02/23709
RC	AB	US- 5.589.945	12.31.1996	Abecassis	See ISR PCT/US02/23709
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
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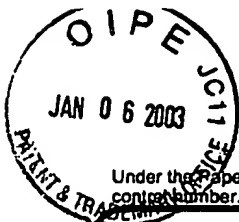
FOREIGN PATENT DOCUMENTS						
Examiner Initials ⁵	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ² (if known)				
	AU					
	AV					
	AW					
	AX					
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	AZ					

Examiner Signature	/Robert Chevalier/	Date Considered	09/15/2006
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known	
		Application Number	09/921,420
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	August 2, 2001
		First Named Inventor	OSTROVER, Lewis S
		Group Art Unit	2615
		Examiner Name	To Be Assigned
		Attorney Docket Number	3053-041
Sheet	2	of	2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
RC	BA	International Search Report dated December 12, 2002; PCT/US02/23709 filed July 25, 2002; entitled APPARATUS FOR GENERATING CONTENT CODES FOR AUDIOVISUAL PROGRAMS BY MULTIPLE VIEWERS; inventor OSTROVER, Lewis S	
	BB		
	BC		
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	BI		
	BJ		

Examiner Signature	/Robert Chevalier/	Date Considered	09/15/2006
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO. 3053-041

SERIAL NO. To Be Assigned

APPLICANT OSTROVER, Lewis S.

FILING DATE Herewith

GROUP To Be Assigned

U.S. PTO
09/15/2006
09/15/2006

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RC	A	8	9	8	7	2	1	1	11.16.1999	Abecassis			
RC	B	6	2	0	8	8	0	5	03.27.2001	Abecassis			
	C												
	D												
	E												
	F												
	G												
	H												
	I												

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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